

**PATENT APPLICATION**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hiroko SHIKINAMI et al.

ATTN: PCT Branch

Application No.: 09/869,883

Docket No.: 110071

Filed: August 17, 2001

For: VEHICLE TO ASSIST WALKING

RECEIVED

OCT 16 2001

TO 3600 MAIL ROOM

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C 371 IN THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**  
**DECLARATION ALREADY FILED**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on August 17, 2001, the executed Declaration of the inventors was filed on August 17, 2001. A copy of the executed Declaration as filed, a copy of the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, and a copy of the stamped postcard receipt are attached hereto. The surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)) was also paid on August 17, 2001 with our Check No. 121891 for 130.00.

Entry of these documents on August 17, 2001 should have completed all of the filing formalities. Accordingly, prompt issuance of a Notification of Acceptance and Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/cmm

Date: October 5, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
09/869,883INTERNATIONAL APPLICATION NO.  
PCT/JP00/03418INTERNATIONAL FILING DATE  
May 26, 2000PRIORITY DATE CLAIMED  
November 13, 1999**RECEIVED**TITLE OF INVENTION  
VEHICLE TO ASSIST WALKING

OCT 16 2000

APPLICANTS FOR DO/EO/US  
Hiroko SHIKINAMI et al.

TO 3600 MAIL ROOM

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/869,883		INTERNATIONAL APPLICATION NO. May 26, 2000		ATTORNEY'S DOCKET NUMBER 110071	
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO ....\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b>		<b>PTO USE ONLY</b>	
				\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00			
Claims	Number Filed	Number Extra	Rate				
Total Claims	- 20 =		X \$ 18.00	\$			
Independent Claims	- 3 =		X \$ 80.00	\$			
Multiple dependent claim(s)(if applicable)			+ \$270.00	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$130.00			
Reduction by 1/2 for filing by small entity, if applicable.				-	\$		
<b>SUBTOTAL =</b>				\$130.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
<b>TOTAL NATIONAL FEE =</b>				\$130.00			
10/11/2001 MKAYPAGH 00000086 150461 09869883  01 FC:154 130.00 CH				Amount to be refunded	\$		
				Charged	\$		

  

a. <input checked="" type="checkbox"/> Check No. <u>121891</u> in the amount of <u>\$130.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.	<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>  <b>SEND ALL CORRESPONDENCE TO:</b> OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320  NAME: James A. Oliff REGISTRATION NUMBER: 27,075  NAME: Joel S. Armstrong REGISTRATION NUMBER: 36,430
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Date: August 17, 2001

**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

PCT Trans. Ltr. & Ck 121891 \$130, Decl., Asgn. Trans. Ltr. & Ck 121892 \$40, Assign.  
IDS, PTO-1449, 6 refs.

**Name of Applicant:** Hiroko SHIKINAMI et al.

**Serial No.:** 09/869,883

**Atty. File No.:** 110071

**Title (New Cases):**

**Sender's Initials:** JAO/cmm



43/19

**PATENT OFFICE DATE STAMP**

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**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**